

Docket No. 214089US0CONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshinao NAGASHIMA, et al.

SERIAL NO: 09/972,887

GAU:

FILED: October 10, 2001

EXAMINER:

FOR: AUTONOMIC NERVE REGULATING AGENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

COPY

Norman F. Oblon

Registration No. 24,618

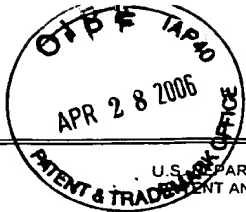
Stefan U. Koschmieder

Registration No. 50,238



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

214089US0CONT

SERIAL NO.

09/972,887

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Yoshinao NAGASHIMA, et al.

FILING DATE

October 10, 2001

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AW	A MANUAL OF STANDARDIZED TERMINOLOGY, TECHNIQUES AND SCORING SYSTEM FOR SLEEP STAGES OF HUMAN SUBJECTS; Allan Rechtschaffen, et al; 1968		
AX	Abstract, Patent No. JP 10025245, Application No. JP 96182349, January 27, 1998, "ORAL HYPNOTIC AGENT USED FOR MEDICINES, FOODS, DRINKS AND FEEDS CONTAIN ESSENTIAL OIL OF SANDALWOOD"		
AY	Abstract, Patent No. JP 11343497, Application No. JP 98188008, December 14, 1999, "COSMETICS SHOWING SEDATIVE AND HYPNOTIC EFFECT COMPRISING FLAVOUR OF ROSEMARY, LAVENDAR, BITTER ORANGE OR SENKYU"		
AZ	Abstract, Patent No. JP 4128234, Application No. JP 90249716, April 28, 1992, "NEW SLEEP PROMOTING AGENT COMPRISES BITTER ORANGE ESSENTIAL OIL, FORMULATED FOR ABSORPTION THROUGH THE NASAL MUCOSA OR THE LUNGS"		<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

214089US0CONT

SERIAL NO.

09/972,887

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Yoshinao NAGASHIMA, et al.

FILING DATE

October 10, 2001

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Abstract, Patent No. JP 6040911, February 16, 1994; Application No. JP 92195333, July 22, 1992; "SLEEP PROMOTER CONTAINING JASMINE LACTONE AS ACTIVE COMPONENT IS IN THE FORM OF PREPARATION WHERE ACTIVE COMPONENT IS ABSORBED FROM LUNG OR NOSE OR MOUTH MUCOSA"
	AX	Abstract, Patent No. JP 5255688, October 5, 1993; Application No. JP 9289811, March 13, 1992; "PERFUME CONTAINING SEDATIVE ESSENTIAL OIL HAS DURABLE SEDATIVE EFFECT"
	AY	Abstract, Patent No. JP 10113369, May 6, 1998; Application No. 9770225, March 24, 1997; (WO 9807403); "COSMETOLOGICAL METHOD FOR ACHIEVING VALUABLE COSMETIC EFFECTS EASILY BY MASSAGE COMPRISING MASSAGE CARRIED OUT BY ORDINARY PEOPLE ALONG ARTERIAL AND THEN VENOUS BLOOD FLOW PATHS USING COSMETIC CONTAINING DISINTEGRATIVE PARTICLES"
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.